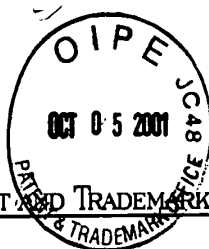




## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,452	08/24/2001	Edmund W. Figiel	1944.NVF

CONFIRMATION NO. 2230

## FORMALITIES LETTER



\*OC00000006799974\*

Charles W. Almer  
Counsel, I.P.  
NATIONAL STARCH AND CHEMICAL COMPANY  
10 Finderne Avenue  
Bridgewater, NJ 08807-3300

Date Mailed: 09/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/09/2001 SDIRETA1 00000014.140455 09938452

01 FC:105

130.00 CH

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

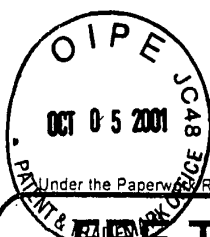
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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<b>FEE TRANSMITTAL</b> <b>for FY 2001</b>  <i>Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>		
		Application Number	09/938,452	
		Filing Date	08/24/2001	
		First Named Inventor	Edmund W. Figiel et al	
		Examiner Name		
		Group Art Unit		
TOTAL AMOUNT OF PAYMENT	(\$)	130.00	Attorney Docket No.	1944.NVF

<b>METHOD OF PAYMENT</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																																																																																	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 14-0455 Deposit Account Name: National Starch and Chemical <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES																																																																																																																																																																																																																																																	
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unavoidable</td><td></td></tr><tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td></td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td></td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>440</td><td>243</td><td>220</td><td></td><td></td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>600</td><td>244</td><td>300</td><td></td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td></td><td></td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>126</td><td>180</td><td>126</td><td>180</td><td></td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>710</td><td>246</td><td>355</td><td></td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>710</td><td>249</td><td>355</td><td></td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>710</td><td>279</td><td>355</td><td></td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900</td><td>169</td><td>900</td><td></td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="2">Other fee (specify)</td><td colspan="2"></td></tr><tr><td colspan="2">SUBTOTAL (1) (\$)</td><td colspan="2">SUBTOTAL (3) (\$) 130</td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	105	130	205	65			Surcharge - late filing fee or oath	130	127	50	227	25			Surcharge - late provisional filing fee or cover sheet		139	130	139	130			Non-English specification		147	2,520	147	2,520			For filing a request for ex parte reexamination		112	920*	112	920*			Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action		115	110	215	55			Extension for reply within first month		116	390	216	195			Extension for reply within second month		117	890	217	445			Extension for reply within third month		118	1,390	218	695			Extension for reply within fourth month		128	1,890	228	945			Extension for reply within fifth month		119	310	219	155			Notice of Appeal		120	310	220	155			Filing a brief in support of an appeal		121	270	221	135			Request for oral hearing		138	1,510	138	1,510			Petition to institute a public use proceeding		140	110	240	55			Petition to revive - unavoidable		141	1,240	241	620			Petition to revive - unintentional		142	1,240	242	620			Utility issue fee (or reissue)		143	440	243	220			Design issue fee		144	600	244	300			Plant issue fee		122	130	122	130			Petitions to the Commissioner		123	50	123	50			Processing fee under 37 CFR 1.17(q)		126	180	126	180			Submission of Information Disclosure Stmt		581	40	581	40			Recording each patent assignment per property (times number of properties)		146	710	246	355			Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355			For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355			Request for Continued Examination (RCE)		169	900	169	900			Request for expedited examination of a design application		Other fee (specify)				SUBTOTAL (1) (\$)		SUBTOTAL (3) (\$) 130	
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\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Charles W. Almer	Registration No. (Attorney/Agent)	36,731
Signature		Telephone	908-707-3738
		Date	10/2/01

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/938,452
	<b>Filing Date</b>	08/24/2001
	<b>First Named Inventor</b>	Edmund W. Figiel et al.
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	
<b>Total Number of Pages In This Submission:</b>	<b>Attorney Docket Number</b>	<b>1944.NVF</b>

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declarations(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Doc(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal, Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual Name -</b>	Charles W. Almer National Starch and Chemical
<b>Signature -</b>	
<b>Date -</b>	10/2/01

**CERTIFICATE OF MAILING**

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<b>Typed or Printed Name</b>	Anne Marie Pickel		
<b>Signature</b>		<b>Date</b>	10/2/01

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